

Attorney Docket No.: MTEC-002/00US Client Reference No.: 0119.00US **PATENT**

Express Mail Label Number:

Date of Deposit:

EV 525577075 US September 27, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

THEKKATH et al.

Serial No.:

09/844,671

Examiner:

Frejd, Russell Warren

Confirmation No.:

8986

Art Unit:

2128

Filed:

April 30, 2001

For:

PROGRAM COUNTER AND DATA TRACING FROM A MULTI-ISSUE

PROCESSOR

Mail Stop RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X] In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: 12, 17, 1005

Respectfully submitted, COOLEY GODWARD LLP

Cooley Godward LLP ATTN: Patent Group

Five Palo Alto Square 3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

WSG:dm

By:

William S. Galliani Reg. No. 33,885 Attorney Docket No.: MTEC-002/00US

Stient Reference No.: 0119.00US

PATENT

Express Mail Label Number:

Date of Deposit:

EV 525577075 US September 27, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

THEKKATH et al.

Serial No.:

09/844,671

Examiner:

Freid, Russell Warren

Confirmation No.:

8986

Art Unit:

2128

Filed:

April 30, 2001

For:

PROGRAM COUNTER AND DATA TRACING FROM A MULTI-ISSUE

PROCESSOR

Mail Stop RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [X] Pursuant to 37 C.F.R. §1.98, a copy of each document **P5**, **P6** and **D1-D9** cited in the attached Form PTO/SB/08 is enclosed.
- [] No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- [X] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because.

[]					A were cited in a
	foreign search o	r examination	report corresp	onding to	application
	serial no an	d mailed on _			

Attorney Docket No.: MTEC-002/00US Application Serial No.: 09/844,671

Page 2

Į.]	of the	M.P.E.P., Applicant submits the attached foreign search or nation report, which cites such non-English language publication(s).		
[]		sed is a copy of a non-English publication(s) English language ation (copy enclosed) claims priority from this non-English ation.		
		sed is an explanation of non-English publication(s) for which an h translation is not available.		
. 0	Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08A.			
0	Enclos	sed is a copy of pending patent Application Serial No		
This Ir time periods:	nformat	ion Disclosure Statement is filed within any one of the following		
		within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);		
		within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;		
	[]	before the mailing date of a first office action on the merits; or		
	[X]	before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.		
It is re	spectful	lly requested that the Examiner consider the above-noted		
information as	ad rotum	n on initialed convert the attached Form DTO/SD/08 A to the		

information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Cooley Godward LLP ATTN: Patent Group Five Palo Alto Square 3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

WSG:dm

Respectfully submitted,

COOLEY GODWARD LLP

By:

William S. Galliani Reg. No. 33,885

OPE	Please type a plus sign (+) inside this box >	+
SEP 27		لــــا

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 1

Application Number 09/844,671
Filing Date April 30, 2001
First Named Inventor THEKKATH
Group Art Unit 2128
Examiner Name Frejd, Russell Warren
Attorney Docket Number MTEC-002/00US

U.S. PATENT DOCUMENTS					
Examiner Initials*		U.S. Patent Document			Date of Publication of Cited
		Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document
	Pl	5,084,814		VAGLICA, et al.	01-28-1992
	P2	6,032,268		SWOBODA, et al.	02-29-2000
	P3	6,687,865		DERVISOGLU, et al.	02-23-2004
	P4	6,754,804		HUDEPOHL et al.	06-22-2004
	P5	09/751,747		HUDEPOHL et al.	12-29-2000
	P6	09/751,748		HUDEPOHL et al.	12-29-2000
	ļ				
	ļ				
	-				
	 				
	 				
	+				·
	 		<u> </u>		
	 		1		
					

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	. I I OCIEILI ALCIIL DOCUMENT		N. Charles Andions & City	Date of Publication		
		Office ³	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	T ⁶
		ļ l					
•	 		·	1			

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

Applease type a plus sign (+) inside this box >

Sheet

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 1

Complete if Known				
Application Number	09/844,671			
Filing Date	April 30, 2001			
First Named Inventor	THEKKATH			
Group Art Unit	2128			
Examiner Name	Frejd, Russell Warren			
Attorney Docket Number	MTEC-002/00US			

		OTHER - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	D1.	F. Chow et al., "Engineering a RISC Compiler System," <i>IEEE COMP-CON</i> , March 1986, pp. 132-137.	
	D2.	M.D. Smith, "Tracing with Pixie," <i>Technical Report CSL-TR-91-497</i> , Stanford University, Computer Systems Laboratory, November 1991, pp. 1-29.	
	D3.	ATOM Reference Manual, Digital Equipment Corporation, Massachusetts, December 1993, pp. 1-32.	
	D4.	A. Srivastaba et al., "ATOM: A System for Building Customized Program Analysis Tools," WRL, Research Report 94/2, Digital Equipment Corporation, Massachusetts, March 1994, pp. 1-23.	
	D5.	ATOM User Manual, Digital Equipment Corporation, March 1994, pp. `1-28.	
	D6.	B. Cmelik et al., "Shade: A Fast Instruction-Set Simulator for Execution Profiling," Proceedings of the 1994 ACM SIGMETRICS Conference, SIGMETRICS, California, May 1994, pp. 128-137.	
	D7.	MIPS Technologies; "MIPS64 5K™ Processor Core Integrator's Guide;" [Document No. MD00056]; MIPS Technologies, Inc.; Rev. 1.2; August 11, 2000; pp. 1-II, 1-82.	
·	D8.	MIPS Technologies; "MIPS64 TM 5K TM Processor Core Family Integrator's Guide;" [Document No. MD00106]; ©1999-2001 MIPS Technologies, Inc.; Rev. 02.00; January 15, 2001; pp. I-VIII, 1-75.	
	D9.	MIPS Technologies; "Core Processor Interface Specification;" [Document No. MD00068]; MIPS Technologies, Inc.; Rev. 1.11; March 30, 2001; pp. 1-26.	
	-		
	1		

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.
² Applicant is to place a check mark here if English language Translation attached.